

In re the application of Guriq B. et al.

Serial No. 10/010,942

Filed: December 6, 2001

For: Humanized Antibodies that Recognize Beta Amyloid Protein

Case Docket No. ELN-002

COMMISSIONER FOR PATENTS

Box Missing Parts

Washington, D.C. 20231



Sir:

Transmitted herewith for filing in connection with the above-identified application are the following:

- ☒ Response to Notice to File Missing Parts (2 pages, in duplicate);
- ☒ Request for Two-Month Extension of Time (1 page, in duplicate);
- ☒ Copy of Notice to File Missing Parts (5 pages);
- ☒ Executed Declaration, Petition and Power of Attorney document (5 pages);
- ☒ Preliminary Amendment (22 pages, with Appendix A);
- ☒ Transmittal Letter for Diskette containing Substitute Sequence Listing (1 page);
- ☒ 21 pages of Substitute Sequence Listing;
- ☒ Diskette containing computer readable form of the Substitute Sequence Listing;
- ☒ Return Postcard.

COPY OF PAPERS  
ORIGINALLY FILED

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////////////////	
TOTAL CLAIMS	151 - 20	= 131
INDEP. CLAIMS	31 - 3	= 28
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero,  
enter "0" in Col. 2.

SMALL ENTITY	
RATE	FEE
////////////////	\$
x 9=	\$
x 42	\$
+140	\$
TOTAL	0

OTHER THAN SMALL ENTITY	
RATE	FEE
////////////////	\$ 740
x 18=	\$ 2358
x 84	\$ 2352
+280	\$ 280
TOTAL	\$5730.00

- ☒ The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed. The Commissioner is further authorized to charge to Deposit Account No. 12-0080 the following:
  - ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
  - ☐ Any patent application processing fees under 37 CFR 1.17.
- ☒ Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed. Applicants request any extensions of time necessary to respond.

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

July 1, 2002

Date

Signature of Person Mailing

LAHIVE & COCKFIELD LLP  
Attorneys at Law

By:   
Debra J. Maslacio, Esq.  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: G. Basi, *et al*

Serial No.: 10/010,942

Filed: December 6, 2001

For: HUMANIZED ANTIBODIES  
THAT RECOGNIZE BETA AMYLOID  
PROTEIN

Attorney Docket No.: ELN-002

Group Art Unit: 1645

Examiner: Not Yet Assigned



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Washington, D.C. 20231

Certificate of First Class Mailing (37 C.F.R. §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

July 1, 2002

Date of Signature and of Mail Deposit

By:

Debra J. Milasincic, Esq.  
Reg. No. 46,931  
Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Dear Sir:

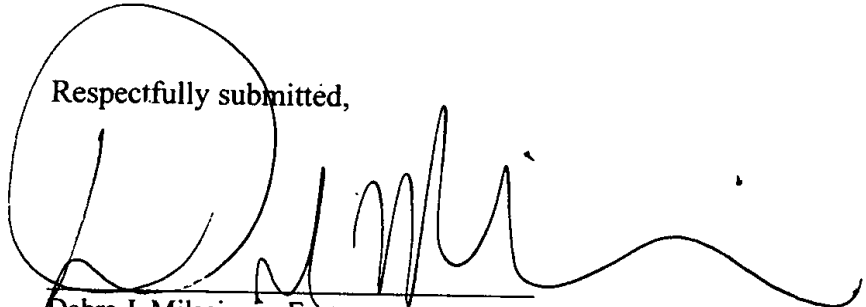
Responsive to the Notice to File Missing Parts of Nonprovisional Application dated March 1, 2002, Applicants' attorney submits the executed Declaration, Petition and Power of Attorney document for the above-identified patent application, a Preliminary Amendment with Version with Markings to Show Changes Made, and a Substitute Sequence Listing (paper and diskette).

Please charge Deposit Account No. 12-0080 in the amount of \$5,860.00 (\$740.00 for basic filing fee, \$130.00 for surcharge fee, \$4990.00 for extra claims fee)

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. §§1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. *A duplicate of this letter is enclosed.*

Respectfully submitted,



Debra J. Milasincic, Esq.  
Reg. No. 46,931  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Dated: July 1, 2002

# 4



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,942	12/06/2001	Guriq Basi	ELN-002

 000959  
 LAHIVE & COCKFIELD  
 28 STATE STREET  
 BOSTON, MA 02109

 CONFIRMATION NO. 5594  
 FORMALITIES LETTER  

 \*OC00000007566779\*

Date Mailed: 03/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/16/2002 BABRAHA1 00000040 120080 10010942

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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01 FC:101	740.00 CH
02 FC:103	130.00 CH
03 FC:103	2358.00 CH
04 FC:102	2352.00 CH
05 FC:104	280.00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$7462.
  - \$2898 for 161 total claims over 20.
  - \$4284 for 51 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 8332.

 Adjustment date: 08/20/2002 Y61ZAW  
 07/16/2002 BABRAHA1 00000040 120080 10010942  
 03 FC:103 2358.00 CH

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

 08/20/2002 Y61ZAW 00000012 120080 10010942  
 01 FC:103 2232. CH

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE